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# Study on green problem of SHG\*

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Abstract: An extended physical model on intracavity binary wave plates based on the Jones matrix formalism that gives the prerequisite for independently intracavity frequency doubling under CW multilongitudinal mode operation has been obtained. The formulas for eliminating the noise of the frequency doubled output in phase matching type II and type I conditions are presented. A general condition concerning the round-trip Jones matrix has been achieved. The eigenvectors for the phase matching type II and type I have been determined analytically.

**Key words:** sum-frequency generation (SFG) phase matching type II and I second harmonic generation (SHG)

## 关干倍频绿光问题的研究

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摘要: 采用双波片晶体的物理模型和 Jones 矩阵方法,得到了在连续多纵模腔内倍频激光器 中压缩噪声的必要条件,推导出与II类和I类相位匹配方式相对应的消除和频效应的公式,并推 广为往返 Jones 矩阵元所应满足的关系, 以及相应的腔内本征矢。

关键词: 和频效应 II 类和 I 类相位匹配方式 倍频效应

#### Introduction

Second harmonic generation (SHG) is a widely used nonlinear optical frequency conversion to generate coherent radiation in visible and UV spectral regions. However, the output noise due to large amplitude fluctuations emerging in CW intracavity SHG lasers under multiplicational

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modes operation, which has been referred to as the "green problem", has limited the development and application of compact CW solid-state SHG lasers to a great extent. In this paper, the output noise of CW SHG lasers with both phase matching type II and type I corresponding to the green problem for or blue problem has been investigated theoretically. As it is known, in a free-running laser of multi-longitudinal modes, such longitudinal modes oscillate simultaneously without fixed mode to-mode amplitude and phase relationships, if there is no nonlinear crystal or mode locking element in the cavity. The resulted laser output is a sort of time-averaged statistical mean value. Each mode oscillates independent of the others, and the phases are randomly distributed in the range of  $-\pi$  to  $+\pi$ . In the time domain, the field consists of an intensity distribution which has the characteristics of quite small thermal noise. The total intensity is nearly the sum of these in various longitudinal modes<sup>[1]</sup>. When a frequency doubling crystal is inserted into the laser cavity, large amplitude fluctuations are observed, even if there is not any Q-switching and mode-locking elements.

Baer used a system of coupled differential equations to describe the multi-longitudinal mode laser in the rate equation approximation and pointed out that instabilities arose from the coupling of the longitudinal modes in the laser oscillator by sum-frequency generation (SFG)<sup>[2]</sup>. The key point to stabiline the output of a CW laser with intracavity SHG is to eliminate SFG<sup>[3,4]</sup>. In this paper, a physical model based on binary wave plates (BWP) and Jones matrix has been adopted. The relationship of  $\delta_1$ ,  $\delta_2$  with phase shift of each wave plate and relative azimuthal angle  $\Psi$  has been determined to relieve mode coupling and eliminate the influence of SFG in nonlinear crystals for general cases of both phase matching type II and type I. The fundamental wave eigenvectors of round-trip Jones matrix have been presented for each phase matching type.

### 1 Analysis

The BWP physical model of a CW laser with intracavity SHG is shown in Fig. 1. This model shows a general case, in which the wave plate may be either frequency doubling crystal or lasing medium or another optical retarder.

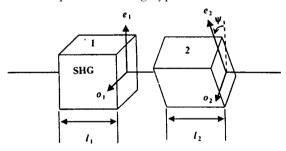


Fig. 1 The schematic diagram of BWP

A round-trip Jones matrix starting from the SHG crystal (number 1 in Fig. 1) and ending at the same point after one round trip can be expressed as

$$\mathbf{M} = J(0, \delta_{1})J(\Psi, \delta_{2})J(\Psi, \delta_{2})J(0, \delta_{1}) = \begin{bmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{bmatrix} = \begin{bmatrix} \exp\left[i(\delta_{1} + \delta_{2})\right]\cos^{2}\Psi + \exp\left[-i(\delta_{2} - \delta_{1})\right]\sin^{2}\Psi & i\sin(2\Psi)\sin\delta_{2} \\ i\sin(2\Psi)\sin\delta_{2} & \exp\left[-i(\delta_{1} + \delta_{2})\right]\cos^{2}\Psi + \exp\left[i(\delta_{2} - \delta_{1})\right]\sin^{2}\Psi \end{bmatrix} \tag{1}$$

where Jones matrix of a wave plate (retarder) is

$$J(\ \Psi,\ \delta) = \ R(\ \Psi) \ C(\ \delta) \ R(-\ \Psi) = \begin{bmatrix} \cos \Psi & -\sin \Psi \\ \sin \Psi & \cos \Psi \end{bmatrix} \begin{bmatrix} \exp(\mathrm{i}\,\delta/2) & 0 \\ 0 & \exp(-\mathrm{i}\,\delta/2) \end{bmatrix} \begin{bmatrix} \cos \Psi & \sin \Psi \\ -\sin \Psi & \cos \Psi \end{bmatrix},$$

 $\delta_{l, 2} = 2\pi (n_{e_{l, 2}} - n_{o_{l, 2}}) l_{l, 2} / \lambda$ ,  $\lambda$  is the fundamental laser wavelength,  $n_{e_{l, 2}}$  and  $n_{o_{l, 2}}$  are refractive indices of two major axes in each wave plate.

Two eigenvectors corresponding to M are then

$$E_{1}(\omega_{1}) = \frac{\Delta_{1}}{Z_{1}} \begin{bmatrix} b + c \\ \Delta \end{bmatrix} , \quad E_{2}(\omega_{2}) = \frac{\Delta_{2}}{Z_{2}} \begin{bmatrix} b - c \\ \Delta \end{bmatrix}$$
 (2)

where

$$b = \cos^2 \Psi \sin(\delta_1 + \delta_2) - \sin^2 \Psi \sin(\delta_2 - \delta_1), c = [b^2 + \Delta^2]^{1/2}, \Delta = \sin(2\Psi)\sin\delta_2$$
 (3)

$$Z_1 = \sqrt{(b+c)^2 + \Delta^2}, Z_2 = \sqrt{(b-c)^2 + \Delta^2}$$
 (4)

$$\Delta_1 = A_1 \exp[i(\omega_1 t + \phi_1)], \ \Delta_2 = A_2 \exp[i(\omega_2 t + \phi_2)]$$
 (5)

 $\phi_1$  and  $\phi_2$  are randomly arbitrary phase shifts. The frequencies  $\omega_1$  and  $\omega_2$  are generally very close but not identical, so that the sum frequency( $\omega_1 + \omega_2$ ) is very near  $2 \omega_1$  and  $2 \omega_2^{[3]}$ .  $Z_1$  and  $Z_2$  are normalized parameters.  $A_1$  and  $A_2$  are the fundamental wave field amplitudes.

For the phase matching type  $\Pi(o\omega + e\omega)$ , the nonlinear polarization is given by

$$P(\omega_1 + \omega_2) = d_{\text{eff}} E_0(\omega_1, \omega_2) E_e(\omega_1, \omega_2)$$
(6)

where  $d_{\text{eff} II}$  is the effective nonlinear coefficient of type II,  $E_0$ ,  $E_0$  are the sum of the ordinary, extraordinary components of  $E_1$  and  $E_2$ , respectively.

The time average intensity with random phase approximation is [3]

$$I(\omega_{1} + \omega_{2}) = \langle P(\omega_{1} + \omega_{2}) P^{*}(\omega_{1} + \omega_{2}) \rangle =$$

$$I_{1}^{2} \left[ \frac{(b+c)\Delta}{Z_{1}^{2}} \right]^{2} + I_{2}^{2} \left[ \frac{(b-c)\Delta}{Z_{2}^{2}} \right] + I_{1}I_{2} \left( \frac{2b\Delta}{Z_{1}Z_{2}} \right)^{2}$$

$$(7)$$

where  $\langle \rangle$  stands for the time average.

According to dynamic analyses of rate equations<sup>[2]</sup>, SHG output noise results from the SFG, which corresponds to the  $I_1I_2$  cross term in Eq. (7). Therefore, b = 0 is the prerequisite to eliminate the noise of output. The Eq. (3) is given in this case by

$$\cos^2 \Psi \sin(\delta_1 + \delta_2) - \sin^2 \Psi \sin(\delta_2 - \delta_1) = 0$$
 (8a)

or 
$$tan^2 \Psi = sin^2 \Psi$$

 $\tan^2 \Psi = \sin(\delta_2 + \delta_1) / \sin(\delta_2 - \delta_1) \qquad (0 \leqslant \Psi < \pi)$  (8b)

The above equations and Fig. 2 give the relationship between  $\delta_1$ ,  $\delta_2$  and  $\Psi$  in the general case for the phase matching type II to stabilize the SHG output. The eigenvectors that can satisfy b=0 are  $\frac{\Delta_1}{\sqrt{2}}\begin{bmatrix}1\\1\end{bmatrix}$  and  $\frac{\Delta_2}{\sqrt{2}}\begin{bmatrix}-1\\1\end{bmatrix}$ , respectively and the time-average

SHG output is  $I(\omega_1 + \omega_2) = [I^2(\omega_1) + I^2(\omega_2)]/4$  from Eqs. (2) to (8). When  $\Psi = \pi/4$  and  $\delta_2 = \pi/2$ , b = 0 is always satisfied for

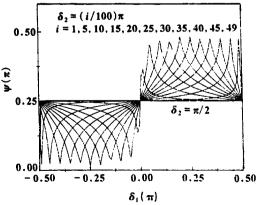


Fig. 2 Dependence of  $\Psi$  on  $\delta_1$  for different  $\delta_2$  in the case of phase matching type II

arbitrary  $\delta_1^{[4]}$ .

For the phase matching type I, there are two cases:

$$(1) o_{\omega} + o_{\omega} \qquad \qquad P(\omega_1 + \omega_2) = d_{\text{eff}}^0 \left[ E_0(\omega_1, \omega_2) \right]^2 \tag{9}$$

$$(1) o_{\omega} + o_{\omega} \qquad P(\omega_{1} + \omega_{2}) = d_{\text{eff}I}^{o} [E_{o}(\omega_{1}, \omega_{2})]^{2}$$

$$I(\omega_{1} + \omega_{2}) = \langle P(\omega_{1} + \omega_{2}) P^{*}(\omega_{1} + \omega_{2}) \rangle = I_{1}^{2} \left(\frac{b+c}{Z_{1}}\right)^{4} + I_{2}^{2} \left(\frac{b-c}{Z_{2}}\right)^{4} + 4I_{1}I_{2} \left(\frac{\Delta^{2}}{Z_{1}Z_{2}}\right)^{2}$$

$$(9)$$

$$(2) e_{\omega} + e_{\omega} \qquad \qquad P(\omega_1 + \omega_2) = d_{\text{eff}}^e \left[ E_e(\omega_1, \omega_2) \right]^2 \qquad (11)$$

$$I(\omega_{1} + \omega_{2}) = \langle P(\omega_{1} + \omega_{2}) P^{*}(\omega_{1} + \omega_{2}) \rangle = I_{1}^{2} \left(\frac{\Delta}{Z_{1}}\right)^{4} + I_{2}^{2} \left(\frac{\Delta}{Z_{2}}\right)^{4} + 4I_{1}I_{2} \left(\frac{\Delta^{2}}{Z_{1}Z_{2}}\right)^{2}$$
(12)

where  $d_{\text{eff}}^{\text{eff}}$  and  $d_{\text{eff}}^{\text{eff}}$  are the effective nonlinear coefficients of type I, respectivly.

The condition to eliminate noise for the phase matching type I is just as for the type II, i. e. the  $I_1I_2$  cross term must vanish as follows:  $\Delta = \sin(2 \Psi) \sin \delta_2 = 0$ (13)

The above equation shows the relationship between  $\delta_2$  and  $\Psi$  in general case for the type I phase matching to stabilize SHG output. The eigenvectors that can satisfy  $\Delta = 0$  are  $\Delta_1 \begin{bmatrix} 1 \\ 0 \end{bmatrix}$  $\Delta_2\begin{bmatrix}0\\1\end{bmatrix}$ , and the time average SHG output is  $I_1^2$  or  $I_2^2$  for the  $o_\omega + o_\omega$  or  $e_\omega + e_\omega$ , respectively, by using Eqs. (3), (4), (10), (12) and (13).

### Discussion

The multi-longitudinal mode laser with an intracavity SHG has been investigated by BWP model and Jones matrix. The equation system is more extensive than others  $^{[3,4]}$  as the  $\Psi$ ,  $\delta_1$  and δ<sub>2</sub> are varied.

For the case of phase matching type II, equations (8) give the relationship between  $\Psi$ ,  $\delta_1$ and  $\delta_2$  for eliminating SFG in general cases. When  $\delta_2$  equals  $\pi/2$  using a quarter-wave plate (QWP) particularly, for instance, the azimuthal angle  $\Psi$  equals  $\pi$ 4 from the equations (8). This special result is the same as in Refs. [3~5]. The orthogonal eigenvectors of M is  $\frac{\Delta_1}{\sqrt{2}} \begin{vmatrix} 1 \\ 1 \end{vmatrix}$  $\frac{\Delta_2}{\sqrt{2}}\begin{bmatrix} -1 \end{bmatrix}$  yet.

As regards type I phase matching, the relationship between  $\Psi$  and  $\delta_2$  must conform to equation (13) to eliminate SFG. It is enough when the azimuthal angel  $\Psi$  equals 0 or  $\pi/2$  in accordance with Eq. (13). The eigenvectors of M should be  $\Delta \begin{bmatrix} 1 \\ 0 \end{bmatrix}$  and  $\Delta \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ . In the case of  $o\omega$  $+ o_{\omega}$  or  $e_{\omega} + e_{\omega}$ , the contribution to SHG output to eliminate SFG is  $\Delta_1 \begin{bmatrix} 1 \\ 0 \end{bmatrix}$  or  $\Delta_2 \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ , individually. In addition, the  $\delta_2$  can choose the  $m\pi$ , and this condition to eliminate SFG corresponds to inserting a full-wave plate (FWP) or a half-wave plate (HWP) into the cavity, the SHG laser will show stable output independent of Ψ. In an experimental setup, if the cavity includes only a SHG crystal and a lasing medium which can be manufactured as FWP or HWP, the SHG laser output will be stable and insensitive to the phase shift of SHG crystal and  $\Psi^{[5]}$ .

In conclusion, the conditions in formulae Eqs. (8) and (13) determine the relationship between azimuthal angle  $\Psi$  and phase shifts  $\delta$  of optical elements (lasing medium, SHG crystal, wave plate, etc.) in SHG laser, to eliminate SFG influence in the cases of both type II and type I phase matching. In other words, if only the polarized states of fundamental wave in SHG crystal can be managed according to phase matching type II having  $\frac{\Delta_1}{\sqrt{2}}\begin{bmatrix}1\\1\end{bmatrix}$  and  $\frac{\Delta_2}{\sqrt{2}}\begin{bmatrix}-1\\1\end{bmatrix}$ , phase matching type I having  $\Delta I\begin{bmatrix}0\\0\end{bmatrix}$  or  $\Delta I\begin{bmatrix}0\\1\end{bmatrix}$ , respectively, for the case of  $o\omega + o\omega$  or  $e\omega + e\omega$ , the stability of SHG output will be the same as fundamental wave's. In a more general case from the viewpoint of the round-trip Jones matrix M, as long as components  $m_{ij}$  (i,j=1,2) of M that starts from the SHG crystal and ends at the starting point after one round trip, satisfy following relationships, either  $m_{11} = m_{22}$  or  $m_{12} = 0 = m_{21}$ , respectively for the phase matching type II or type I, the SFG influence can be eliminated, no matter how many numbers and kinds of optical elements are in the cavity. The relationships are in agreement with the eigenvectors of M as above.

For the case of phase matching type I, taking a horizontal polarizer  $\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$  as an example,  $\mathbf{M} = \begin{bmatrix} \exp(\mathrm{i}\,\delta_1)\cos^2\Psi & \sin\Psi\cos\Psi \\ \sin\Psi\cos\Psi & \exp(-\mathrm{i}\,\delta_1)\sin^2\Psi \end{bmatrix}$  and  $\Psi = 0$  or  $\pi/2$ , then  $\mathbf{M}$  is always the diagonal matrix for the  $o\omega+o\omega$  or  $e\omega+e\omega$ . Therefore, it is beneficial to solve "blue problem" by inserting a polarizer in an unpolarized resonator, in which the polarization of the fundamental wave radiation should be along with  $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$  or  $\begin{bmatrix} 0 \\ 1 \end{bmatrix}$ , according to the phase matching conditions. Above theoretical results provide foundation to solve green and blue problems.

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